

Divination and Prediction in Early China and Ancient Greece

Divination was an important and distinctive aspect of religion in both early China and ancient Greece, and this book will provide the first systematic account and analysis of the two side by side. Who practiced divination in these cultures and who consulted it? What kind of questions did they ask, and what methods were used to answer those questions? As well as these practical aspects, Lisa Raphals also examines divination as a subject of rhetorical and political narratives, and its role in the development of systematic philosophical and scientific inquiry. She explores too the important similarities, differences, and synergies between Greek and Chinese divinatory systems, providing important comparative evidence to reassess Greek oracular divination.

LISA RAPHALS is Professor of Chinese and Comparative Literature at the University of California, Riverside, and Visiting Professor in the Department of Philosophy, National University of Singapore. She is the author of Knowing Words: Wisdom and Cunning in the Classical Traditions of China and Greece (1992), Sharing the Light: Representations of Women and Virtue in Early China (1998), and many scholarly articles. Her research interests include comparative philosophy (China and Greece), the history of science, religion, gender, and science fiction studies.





Divination and Prediction in Early China and Ancient Greece

LISA RAPHALS





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and to Sara Susan Raphals (1920–2013)





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Notes on conventions, editions, and transcriptions

With the exception of a handful of people, at least half this book will be unfamiliar to at least half its readership, including orthography and standard reference conventions.

All dates are given using the Western calendar. They correspond approximately to the luni-solar calendars used in early China.

Detailed material is reserved for appendices, at both the ends of chapters and the end of the volume. Material pertinent to a single chapter is included in an appendix immediately following it. These appendices are numbered 2.1, 6.2, etc. Material of recurring interest appears in six appendices at the end of the volume, lettered A through F.

Chinese

The Pinyin transliteration system is used throughout, including in quoted text, except for the names of authors who use non-Pinyin spellings for their own names and for terms that are well known in a Latinate version (e.g. Confucius).

Chinese characters are included in the text for translated passages and where immediate reference is especially convenient. All Chinese characters are given in traditional form.

Chinese translations are my own unless otherwise indicated. Existing translations of Chinese texts are included for reference and referred to by name (e.g. Biot 1.409), rather than by name and date.

Greek and Latin

In most cases, Latin transliteration is used for Greek names and places mentioned in the text (e.g. Calchas, Chaerophon) because it is more likely to be familiar to the non-specialist. I follow the *Oxford Classical Dictionary* (e.g. Claros, rather than Clarus). Greek transliteration is used for Greek

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words quoted in Greek and for names that are particularly obscure. A few inscriptions are given in Greek in the footnotes.

Unless otherwise indicated, Greek and Latin texts and translations are from the Loeb Classical Library, sometimes with slight modifications, with the translator indicated by name (e.g. Perrin). Translations from the Homeric poems are modified from Richmond Lattimore's translations of the *Iliad* and *Odyssey*. Other translations are my own.

Abbreviations for Greek and Latin texts follow the *Oxford Classical Dictionary*. References to Greek translations are provided for texts unavailable through the Loeb Classical Library editions. Most abbreviations used are listed below, for the convenience of the non-specialist reader.

Certain key terms only lose by translation, or worse, the short-cut of translation introduces confusion by force of habit. Therefore, I have chosen to leave certain key words as they are. These include the Chinese terms *dao* (familiar to some as *tao*), *qi*, and *yin* and *yang*. Greek examples include *mantis* (plural *manteis*) rather than seer, polis (plural *poleis*) rather than state, and *tekhnē* (art or science). In translating certain Chinese terms I have aimed for renderings that are more clear, if sometimes less familiar, for example mantic astrolabe (rather than diviner's board). In other cases, I have retained Chinese titles, for example for the *Zuo zhuan* (Zuo Transmissions).

I use the term "state" as a term of convenience to comprehend what are in actuality a wide range of communities and authorities. In a Chinese context it includes the domains of the Shang kings (wang Ξ), the dukes in charge of the states (guo 國) of the Warring States period, and in the Qin and Han periods the rulers (di 帝) of those empires. In a Greek context it includes poleis, but also communities such as colonies and other groups, such as the many smaller groups that questioned Delphi. In Macedonian and Roman contexts it refers to those respective empires.

Chinese texts and journals

Unless otherwise indicated, references to the Chinese Thirteen Classics are to the *Shisan jing zhushu* edition of Ruan Yuan (1815, facsimile edition, Taipei: Yiwen jushu, 1980). They and other texts using premodern pagination are cited with colons separating *juan* or *pian* and page number (e.g. *LJ* 13.7b). For the *Zuo zhuan* the modern edition of Yang Bojun (1991) is used because of its availability, convenience, and widespread use by other scholars. In this and other editions that use modern pagination,



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references give page number, followed by section or subsection (e.g. Zuo, 849 (Cheng 10.4)).

Chinese Standard Histories are from the Zhonghua shuju series (Beijing, 1959–), and are cited by chapter and page number (e.g. *Han shu* 30.1772). Other early Chinese primary texts are cited from the *Xinbian zhuzi jicheng* or *Sibu beiyao* editions. Periods are used to separate chapter and section in texts where a chapter-and-verse or section reference style is conventional (e.g. the *Shi jing, Analects*, and *Mencius*).

Premodern texts, excavated texts, and archaeological monographs without individual authors are cited by title. Short archaeological reports without individual authors are cited by journal (e.g. from $Wen\ wu$: e.g. $WW\ 1995:\ 1:\ 37-43$).

The following abbreviations are used for major Chinese primary and secondary texts and journals. Full entries are found in the bibliography.

AM	Asia Major
Baoshan	Baoshan Chu jian
BEFEO	Bulletin de l'École Française d'Extrême-Orient (Paris)
BHT	Baihu tong
BIHP	Bulletin of the Institute of History and Philology (Taiwan)
BMFEA	Bulletin of the Museum of Far Eastern Antiquities (Stockholm)
BSOAS	Bulletin of the School of Oriental and African Studies (London)
CS	Chinese Science
DJ	Daojia wenhua yanjiu (Shanghai)
EASTM	East Asian Science, Technology and Medicine
EC	Early China
ECTBG	Loewe (1993)
EO	Extrême-Orient, Extrême-Occident: Cahiers de recherches
	comparatives (Paris)
Guodian	Guodian Chu mu zhujian
	_

GY Guo yu Heji Jiaguwen heji HHS Hou Han shu

HJAS Harvard Journal of Asiatic Studies

HNZ Huainanzi HS Han shu HSBZ Han shu bi

HSBZ Han shu bu zhu

JA Journale Asiatique

JAS Journal of Asian Studies

JAOS Journal of the American Oriental Society



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JCR Journal of Chinese Religions

JHKG Jianghan Kaogu Jiudian Jiudian Chu mu KGXB Kaogu xuebao LH Lun heng LJ Li ji

LNZ Lienü zhuan jiao zhu LS Huangdi neijing lingshu

LSCQ Lü shi chunqiu MS Monumenta Serica

MWD Mawangdui Han mu boshu

NN Nan Nü (Leiden)
PEW Philosophy East & West

SBBY Sibu beiyao

SBCK Sibu congkan [Collected Publications from the Four Categories]

SHD Shuihudi Qin mu zhujian

SJ Shi ji

SKQS Siku quanshu
SSJZS Shisan jing zhushu
SW Huangdi neijing suwen

TP T'oung-pao

Wangshan Jiangling Wangshan Shazhong Chu mu

WW Wen wu

XBZZJC Xinbian Zhuzi jicheng Yinwan Yinwan Han mu jian du

YL Yi li

ZJS Zhangjiashan Han mu zhujian ZJT Guanju Qin Han mu jiandu

ZL Zhou li
Zuo Zuo zhuan
ZY Zhou yi zhengyi
ZZJC Zhuzi jicheng

Greek texts, inscriptions, and journals

The following abbreviations are used for Greek inscriptions, journals, and major reference works. Full references are given in the bibliography.

AGIBM The Collection of Ancient Greek Inscriptions in the British Museum



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AJPh American Journal of Philology

BCH Bulletin de Correspondance Hellénique BE Bulletin épigraphique (1852–84)

BICS Bulletin of the Institute of Classical Studies (London)

C Carapanos (1878) CA Classical Antiquity

CDV Christidis, Dakaris, and Vokotopoulou (1999)

CPh Classical Philology
CQ Classical Quarterly
CR Classical Review

DCV Dakaris, Christidis, and Vokotopoulou (1993)

DI Didyma: Die Inschriften

Dind. Dindorff

EE Edelstein and Edelstein, Asclepius: A Collection and Interpretation

of the Testimonies

Ep. Chron. [']Ηπειρωτικά Χρονικα (Epeirotika Chronika, Ioannina)

FD Fouilles de Delphes
Font. Fontenrose (1978)
G&R Greece & Rome

GRBS Greek, Roman and Byzantine Studies HPhQ History of Philosophy Quarterly

HR History of Religions

HSPh Harvard Studies in Classical Philology

IC Inscriptiones Creticae

IG Inscriptiones Graecae. Academicia Litterarum Borussica, 1873–

IGUR Inscriptiones Graecae Urbis Romae

IM Die Inschriften von Magnesia am Maeander

JHS Journal of Hellenic Studies

KW Kekule von Stradonitz and Winnefeld (1909)

OCD³ S. Hornblower and A. Spawforth, Oxford Classical Dictionary

(3rd edn., rev. 2003)

PAAH Praktika tes en Athenais Archaiologikes Hetaireias

Parke Parke (1957)

PCPhS Proceedings of the Cambridge Philological Society

PW Parke and Wormell, The Delphic Oracle

REG Revue des Études Grecques

RO Rhodes and Osborne, *Greek Historical Inscriptions*,

404-323 BC

SEG Supplementum Epigraphicum Graecum (1923-)



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SGDI Hoffman (1899)

SIG³ Supplementum Inscriptionum Graecarum

SVF Stoicorum Veterum Fragmenta. Ed. von Arnim, H. 1903-24.

Leipzig.

TAPhA Transactions of the American Philological Association

Tod Tod, Greek Historical Inscriptions

V Vokotopoulou (1992)

Quotations from major Greek and Latin authors are from standard editions and line numbers are given whenever possible. Editions and translations available through the Loeb Classical Library are not referenced individually. A few less familiar texts and translations are listed in the bibliography.

Abbreviations for Greek and Latin texts follow the *Oxford Classical Dictionary* and the Liddell and Scott *Greek–English Lexicon*.

Ael. Aelian

VH Varia Historia
Aesch. Aeschylus
Ag. Agamemnon

Cho. Choephoroe (Libation Bearers)

Eum. Eumenides (Kindly Ones)

PV Prometheus Vinctus (Prometheus Bound)
Sept. Septem contra Thebas (Seven against Thebes)

Apol. Rhod. Argon. Apollonius Rhodius, Argonautica

Apollod. Apollodorus

Bibl. Bibliotheca (Library)

Epit. Epitome Apul. Apuleius

De Dog. Plat. De dogmate Platonis

Arist. Aristotle

An. Pr. Analytica Priora

Ath. Pol. Athēnaiōn Politeia (Constitution of the Athenians)
Div. somn. De divinatione per somnia (On Divination through

Dreams

HA Historia animalium (History of Animals)

Insom. De insomniis (On Dreams)

Metaph. Metaphysica

PA De partibus animalium (Parts of Animals)

Physiogn. Physiognomonica Rh. Rhetorica (Rhetoric)



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Aristid. *Panath*. Aristides, *Panathenaica*Aristoph. *Nub*. Aristophanes, *Nubes* (Clouds)

Arr. Arrian

Artem. Artemidorus Daldianus

Ath. Athenaeus Cic. Cicero

Div. De divinatione

Fat. De fato Leg. Laws

Nat. Deor. De natura deorum
Clem. Al. Clemens Alexandrinus

Strom. Strōmateis
Curt. Curtius Rufus
Dem. Demosthenes
Dio Chrys. Dio Chrysostom
Diod. Diodorus Siculus
Diog. Laert. Diogenes Laertius

DK Hermann Diels and Walter Kranz, eds. Die

Fragmente der Vorsokratiker (6th edn., 1966)

Ep. Epitome (Extracts)

Eur. Euripides

Bacch. Bacchae

Hel. Helena

IT Iphigenia Taurica (Iphigenia in Tauris)

Med. Medea Or. Orestes

Phoen. Phoenissae (The Phoenician Women)
Eus. Praep. Evang. Eusebius, Praeparatio Evangelica

Fr. Fragment
Hdt. Herodotus
Hes. Hesiod

Dies Opera et Dies (Works and Days)
Theog. Theogony (Birth of the Gods)

Hippoc. Hippocrates (all texts from Littré edn.)

Acut. De Victur Ratione in morbis acutis (On Regimen in

Acute Diseases)

Aer. De aera, aquis, locis (Airs, Waters, and Places)



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Art. De articulis (On the Articulations)

De arte On the Art (Pen Tekhnēs)

Ep. Epistulae (Letters)

Morb. sacr. De morbo sacro (On the Sacred Disease)

Prorrh. Prorrheticum (Prorrhetic)

Vict. De Victus Ratione (On Regimen)

Hom. Homer

Il. Iliad

Od. Odyssey

Hygin. Fab. Hyginus, Fabulae
Iambl. Iamblichus
Eur. Vita Euripidis
Myst. De mysteriis
Pyth. Vita Pythagorae

Joseph. Hypomn. Josephus, Hypomnemata

Jul. Or. Julian, Orationes

Just. Justinus

Epit. Epitome (of Pompeius Trogus)

L E. Littré, Œuvres complètes d'Hippocrate. 10 vols.

Paris, 1844, rpt. Amsterdam: Hakkert, 1962

LS H. G. Liddell and R. Scott, Greek-English Lexicon

(7th edn., 1883)

Lycurg. Leoc. Lycurgus, Against Leocrates

Paus. Pausanias
Philostr. Philostratus
Phld. Philodemus

Mus. De Musica (On Music)

Phlegon

Ol. Olympiads Phot. Photius Lex. Lexicon Pind. Pindar Ol. Olympians Pythians Pyth. Pl. Plato Apologia Αp. Euth. Euthydemus



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Grg. Gorgias
Leg. Laws
Phdr. Phaedrus
Rep. Republic
Symp. Symposium
Theag. Theages

Pliny, NH Pliny the Elder, *Natural History*

Plut. Plutarch
Alex. Alexander
Arist. Aristides
Cic. Cicero
Cim. Cimon

Conceptions against the Skeptics)

Def. or. De Defectu oraculorum (On the Obsolescence of

Oracles)

Dem. Demosthenes

E apud Delph. De E apud Delphos (On the E at Delphi)

Mor. Moralia (Philosophical Essays)

Nic. Nicias
Per. Pericles

Pyth. Orac. De Pythiae oraculis (On the Pythian Oracle)

Quaest. conv. Quaestiones convivales

Thes. Theseus

Porph. Plot. Porphyry, Vita Plotini

PW H. W. Parke and D. E. W. Wormell, The Delphic

Oracle II: The Oracular Responses (Blackwell, 1956)

Schol. scholiast or scholia Serv. *Aen*. Servius, *Ad Aeneidem*

Socr. Hist eccl. Socrates, Historia ecclesiastica

Soph. Sophocles *Ant.* Antigone

OC Oedipus Colonus (Oedipus at Colonus)
OT Oedipus Tyrannus (Oedipus the King)

Stob. Stobaeus

Ecl. Eklogoi (Selections)
Strab. Geog Strabo, Geographica

Suda Greek Lexicon formerly known as Suidas



Notes on conventions, editions, and transcriptions

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Suet. Suetonius
Nero Nero

Tzetzes

Chil. Chiliades
Thuc. Thucydides
Xen. Xenophon

An. Anabasis (Persian Expedition)

Ap. Apologia Socratis Cyr. Cyropaedia

Hell. Hellenica (History of Greece)

Hipparch. Hipparchus (On the Cavalry General)

Lac. Respublica Lacedaemoniorum (On the Constitution

of the Lacedaimonians)

Mem. Memorabilia Socratis
Oec. Oeconomicus (Economics)

Zen. Zenobius

Zon. Hist. Zonaras (twelfth century CE) Epitome Historiarum

(Extracts)



Reference tables

Comparative time line (dates BCE unless otherwise indicated)

China	Greece			
10,000– <i>c</i> . 2100 Neolithic Period				
1700-c. 1100 Shang 商 Dynasty c. 1500-1300 bronze casting c. 1400-1200 Chinese script	1700–1100 late Bronze Age ; Mycenaean culture			
c. 1100–256 Zhou 周 Dynasty	1200-800 Dark Age			
c. 1100–771 Western Zhou 西周 King Wu 武王 r. 1045–1043	settlement of Aegean Islands and coast of Asia Minor			
770–256 Eastern Zhou 東周	750-594 Aristocratic Age			
770–475 Spring and Autumn 春秋	composition of Homeric poems (750–700?) Hesiod eighth–seventh century seventh–sixth-century emergence of polis			
Zhou power eclipsed by Warring States	561–507 Age of Athenian tyrants 561 Peisistratus seizes power 507 Athenian democracy restored fifth-century emergence of democracies			
475–222 Warring States 戰國 Confucius c . 500 Mozi c . 470–390	490–479 Persian Wars 478–445 Rise of Athenian Empire 431–404 Peloponnesian War Aeschylus 525–456, Sophocles 495–405 Euripides 480–406, Herodotus <i>c.</i> 484–425 404–371 supremacy of Sparta Socrates 469?–399, Aristophanes <i>c.</i> 450– <i>c.</i> 385			
Mencius 372–328 Xunzi c. 310–237 Lü Buwei c. 239 Han Fei d. 233	Xenophon 430–354 Plato 428–347 Aristotle 384–322			

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Reference tables

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China	Greece
221-209 Qin 秦 Dynasty	359-323 Rise of Macedon
	323-146 Hellenistic Period
206 BCE-220 CE Han 漢 Dynasty	323 death of Alexander
206 все-9 се Western (Former) Han 漢	
Liu Xin 劉歆 46 BCE-23 CE	146–44 Greece a province of Roman Republic Apollodorus <i>c</i> . 180, Cicero 106–43, Lucretius (99– <i>c</i> . 55), Diodorus Siculus (<i>c</i> . 80–20) Roman Republic to 44
9–23 CE Xin 新 Dynasty Wang Mang 王莽 25–220 CE Eastern (Later) Han 後漢	Roman Empire 31 on Strabo (64 BCE– <i>c</i> . 25 CE), Pliny (23–79 CE) Plutarch <i>c</i> . 45– <i>c</i> . 125 CE, Arrian <i>c</i> . 87–145 CE Pausanias 115–18 CE

Chinese dynasties (all dates BCE unless indicated)

Shang 1700-c. 1100 BCE Zhou c. 1100-256 BCE c. 1100-771 Western Zhou BCE 770-256 Eastern Zhou все 770-475 Spring and Autumn BCE 475–222 Warring States BCE Qin 221-209 BCE Western (Former) Han 206 BCE-9 CE Xin Wang Mang 9-23 Eastern (Later) Han 25-220 Three Kingdoms 220–65 Six Dynasties 220-589 Jin 265-420 Northern Dynasties 386-581 Sui 581-618 Tang 618-907 Five Dynasties (north) 907-60 Ten Kingdoms (south) 907-79 Liao 907-1279 Song 960-1279 Northern Song 960-1127



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Southern Song 1127–1279 Jin 1115–1234 Yuan 1271–1368 Ming 1368–1644 Qing 1644–1911 Republic of China 1912–49 People's Republic of China 1949–

Dukes of Lu in the Zuo zhuan

Duke	Reign
Yin 隱	722-712
Huan 桓	711-694
Zhuang 莊	693-662
Min 閔	661-660
Xi 僖	659-627
Wen 文	626-609
Xuan 宣	608-591
Cheng 成	590-573
Xiang 襄	572-542
Zhao 昭	541-510
Ding 定	509-495
Ai 哀	494-467
[Dao 悼	466-429]