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Divisibility

1.1 Foundations

The set $1, 2, 3, \dots$ of all natural numbers will be denoted by \mathbb{N} . There is no need to enter here into philosophical questions concerning the existence of \mathbb{N} . It will suffice to assume that it is a given set for which the Peano axioms are satisfied. They imply that addition and multiplication can be defined on \mathbb{N} such that the commutative, associative and distributive laws are valid. Further, an ordering on \mathbb{N} can be introduced so that either $m < n$ or $n < m$ for any distinct elements m, n in \mathbb{N} . Furthermore, it is evident from the axioms that the principle of mathematical induction holds and that every non-empty subset of \mathbb{N} has a least member. We shall frequently appeal to these properties.

As customary, we shall denote by \mathbb{Z} the set of integers $0, \pm 1, \pm 2, \dots$, and by \mathbb{Q} the set of rationals, that is, the numbers p/q with p in \mathbb{Z} and q in \mathbb{N} . The construction, commencing with \mathbb{N} , of \mathbb{Z} , \mathbb{Q} and then, through Cauchy sequences and ordered pairs, the real and complex numbers \mathbb{R} and \mathbb{C} forms the basis of mathematical analysis and it is assumed known.

1.2 Division algorithm

Suppose that a, b are elements of \mathbb{N} . One says that b divides a (written $b|a$) if there exists an element c of \mathbb{N} such that $a = bc$. In this case b is referred to as a divisor of a , and a is called a multiple of b . The relation $b|a$ is reflexive and transitive but not symmetric; in fact if $b|a$ and $a|b$ then $a = b$. Clearly also if $b|a$ then $b \leq a$ and so a natural number has only finitely many divisors. The concept of divisibility is readily extended to \mathbb{Z} ; if a, b are elements of \mathbb{Z} , with $b \neq 0$, then b is said to divide a if there exists c in \mathbb{Z} such that $a = bc$.

We shall frequently appeal to the division algorithm. This asserts that for any a, b in \mathbb{Z} , with $b > 0$, there exist q, r in \mathbb{Z} such that $a = bq + r$ and $0 \leq r < b$. The

proof is simple; indeed if bq is the largest multiple of b that does not exceed a then the integer $r = a - bq$ is certainly non-negative and, since $b(q + 1) > a$, we have $r < b$. The result plainly remains valid for any integer $b \neq 0$ provided that the bound $r < b$ is replaced by $r < |b|$.

1.3 Greatest common divisor

By the greatest common divisor of natural numbers a, b we mean an element d of \mathbb{N} such that $d|a, d|b$ and every common divisor of a and b also divides d . We proceed to prove that a number d with these properties exists; plainly it will be unique, for any other such number d' would divide a, b and so also d , and since similarly $d|d'$ we have $d = d'$.

Accordingly consider the set of all natural numbers of the form $ax + by$ with x, y in \mathbb{Z} . The set is not empty since, for instance, it contains a and b ; hence there is a least member d , say. Now $d = ax + by$ for some integers x, y , whence every common divisor of a and b certainly divides d . Further, by the division algorithm, we have $a = dq + r$ for some q, r in \mathbb{Z} with $0 \leq r < d$; this gives $r = ax' + by'$, where $x' = 1 - qx$ and $y' = -qy$. Thus, from the minimal property of d , it follows that $r = 0$, whence $d|a$. Similarly we have $d|b$, as required.

It is customary to signify the greatest common divisor of a, b by (a, b) . Clearly, for any n in \mathbb{N} , the equation $ax + by = n$ is soluble in integers x, y if and only if (a, b) divides n . In the case $(a, b) = 1$ we say that a and b are relatively prime or coprime (or that a is prime to b). Then the equation $ax + by = n$ is always soluble.

Obviously one can extend these concepts to more than two numbers. In fact one can show that any elements a_1, \dots, a_m of \mathbb{N} have a greatest common divisor $d = (a_1, \dots, a_m)$ such that $d = a_1x_1 + \dots + a_mx_m$ for some integers x_1, \dots, x_m . Further, if $d = 1$, we say that a_1, \dots, a_m are relatively prime and then the equation $a_1x_1 + \dots + a_mx_m = n$ is always soluble.

1.4 Euclid's algorithm

A method for finding the greatest common divisor d of a, b was described by Euclid. It proceeds as follows.

By the division algorithm there exist integers q_1, r_1 such that $a = bq_1 + r_1$ and $0 \leq r_1 < b$. If $r_1 \neq 0$ then there exist integers q_2, r_2 such that $b = r_1q_2 + r_2$ and $0 \leq r_2 < r_1$. If $r_2 \neq 0$ then there exist integers q_3, r_3 such

that $r_1 = r_2q_3 + r_3$ and $0 \leq r_3 < r_2$. Continuing thus, one obtains a decreasing sequence r_1, r_2, \dots satisfying $r_{j-2} = r_{j-1}q_j + r_j$. The sequence terminates when $r_{k+1} = 0$ for some k , that is, when $r_{k-1} = r_kq_{k+1}$. It is then readily verified that $d = r_k$. Indeed it is evident from the equations

$$\begin{aligned} a &= bq_1 + r_1, & 0 < r_1 < b; \\ b &= r_1q_2 + r_2, & 0 < r_2 < r_1; \\ r_1 &= r_2q_3 + r_3, & 0 < r_3 < r_2; \\ &\dots \\ r_{k-2} &= r_{k-1}q_k + r_k, & 0 < r_k < r_{k-1}; \\ r_{k-1} &= r_kq_{k+1} \end{aligned}$$

that every common divisor of a and b divides r_1, r_2, \dots, r_k ; and, moreover, viewing the equations in the reverse order, it is clear that r_k divides each r_j and so also b and a .

Euclid's algorithm furnishes another proof of the existence of integers x, y satisfying $d = ax + by$, and furthermore it enables these x, y to be explicitly calculated. For we have $d = r_k$ and $r_j = r_{j-2} - r_{j-1}q_j$, whence the required values can be obtained by successive substitution. Let us take, for example, $a = 187$ and $b = 35$. Then, following Euclid, we have

$$187 = 35 \cdot 5 + 12, \quad 35 = 12 \cdot 2 + 11, \quad 12 = 11 \cdot 1 + 1.$$

Thus we see that $(187, 35) = 1$ and moreover

$$1 = 12 - 11 \cdot 1 = 12 - (35 - 12 \cdot 2) = 3(12) - 35 = 3(187 - 35 \cdot 5) - 35.$$

Hence a solution of the equation $187x + 35y = 1$ in integers x, y is given by $x = 3, y = -16$.

For another example let us take $a = 1000$ and $b = 45$; then we get

$$1000 = 45 \cdot 22 + 10, \quad 45 = 10 \cdot 4 + 5, \quad 10 = 5 \cdot 2$$

and so $d = 5$. The solutions to $ax + by = d$ can then be calculated from

$$5 = 45 - 10 \cdot 4 = 45 - (1000 - 45 \cdot 22)4 = 45 \cdot 89 - 1000 \cdot 4$$

which gives $x = -4, y = 89$. Note that the process is very efficient: if $a > b$ then a solution x, y can be found in $O((\log a)^3)$ bit operations.

There is a close connection between Euclid's algorithm and the theory of continued fractions; this will be discussed in Chapter 6.

1.5 Fundamental theorem

A natural number, other than 1, is called a prime if it is divisible only by itself and 1. The smallest primes are therefore given by 2, 3, 5, 7, 11, ...

Let n be any natural number other than 1. The least divisor of n that exceeds 1 is plainly a prime, say p_1 . If $n \neq p_1$ then, similarly, there is a prime p_2 dividing n/p_1 . If $n \neq p_1 p_2$ then there is a prime p_3 dividing $n/p_1 p_2$; and so on. After a finite number of steps we obtain $n = p_1 \cdots p_m$; and by grouping together we get the standard factorization (or canonical decomposition) $n = p_1^{j_1} \cdots p_k^{j_k}$, where p_1, \dots, p_k denote distinct primes and j_1, \dots, j_k are elements of \mathbb{N} .

The fundamental theorem of arithmetic asserts that the above factorization is unique except for the order of the factors. To prove the result, note first that if a prime p divides a product mn of natural numbers then either p divides m or p divides n . Indeed if p does not divide m then $(p, m) = 1$, whence there exist integers x, y such that $px + my = 1$; thus we have $pnx + mny = n$ and hence p divides n . More generally we conclude that if p divides $n_1 n_2 \cdots n_k$ then p divides n_l for some l . Now suppose that, apart from the factorization $n = p_1^{j_1} \cdots p_k^{j_k}$ derived above, there is another decomposition and that p' is one of the primes occurring therein. From the preceding conclusion we obtain $p' = p_l$ for some l . Hence we deduce that, if the standard factorization for n/p' is unique, then so also is that for n . The fundamental theorem follows by induction.

It is simple to express the greatest common divisor (a, b) of elements a, b of \mathbb{N} in terms of the primes occurring in their decompositions. In fact we can write $a = p_1^{\alpha_1} \cdots p_k^{\alpha_k}$ and $b = p_1^{\beta_1} \cdots p_k^{\beta_k}$, where p_1, \dots, p_k are distinct primes and the α s and β s are non-negative integers; then $(a, b) = p_1^{\gamma_1} \cdots p_k^{\gamma_k}$, where $\gamma_l = \min(\alpha_l, \beta_l)$. With the same notation, the lowest common multiple of a, b is defined by $\{a, b\} = p_1^{\delta_1} \cdots p_k^{\delta_k}$, where $\delta_l = \max(\alpha_l, \beta_l)$. The identity $(a, b)\{a, b\} = ab$ is readily verified.

1.6 Properties of the primes

There exist infinitely many primes, for if p_1, \dots, p_n is any finite set of primes then $p_1 \cdots p_n + 1$ is divisible by a prime different from p_1, \dots, p_n ; the argument is due to Euclid. It follows that, if p_n is the n th prime in ascending order of magnitude, then p_m divides $p_1 \cdots p_n + 1$ for some $m \geq n + 1$; from this we deduce by induction that $p_n > 2^{2^n}$. In fact a much stronger result is known; indeed $p_n \sim n \log n$ as $n \rightarrow \infty$.[†] The result is equivalent to the assertion that

[†] The notation $f \sim g$ means that $f/g \rightarrow 1$; and one says that f is asymptotic to g .

1.6 Properties of the primes

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the number $\pi(x)$ of primes $p \leq x$ satisfies $\pi(x) \sim x/\log x$ as $x \rightarrow \infty$. This is called the prime-number theorem and it was proved by Hadamard and de la Vallée Poussin independently in 1896. Their proofs were based on properties of the Riemann zeta-function about which we shall speak in Chapter 2. In 1737 Euler proved that the series $\sum 1/p_n$ diverges and he noted that this gives another demonstration of the existence of infinitely many primes. In fact it can be shown by elementary arguments that, for some number c ,

$$\sum_{p \leq x} 1/p = \log \log x + c + O(1/\log x).$$

Fermat conjectured that the numbers $2^{2^n} + 1$ ($n = 1, 2, \dots$) are all primes; this is true for $n = 1, 2, 3$ and 4 but false for $n = 5$, as was proved by Euler. In fact 641 divides $2^{32} + 1$. Numbers of the above form that are primes are called Fermat primes. They are closely connected with the existence of a construction of a regular plane polygon with ruler and compasses only. In fact the regular plane polygon with p sides, where p is a prime, is capable of construction if and only if p is a Fermat prime. It is not known at present whether the number of Fermat primes is finite or infinite.

Numbers of the form $2^n - 1$ that are primes are called Mersenne primes. In this case n is a prime, for plainly $2^m - 1$ divides $2^n - 1$ if m divides n . Mersenne primes are of particular interest in providing examples of large prime numbers; for instance it is known that $2^{44497} - 1$ is the 27th Mersenne prime, a number with 13 395 digits.

It is easily seen that no polynomial $f(n)$ with integer coefficients can be prime for all n in \mathbb{N} , or even for all sufficiently large n , unless f is constant. Indeed by Taylor's theorem, $f(mf(n) + n)$ is divisible by $f(n)$ for all m in \mathbb{N} . On the other hand, the remarkable polynomial $n^2 - n + 41$ is prime for $n = 1, 2, \dots, 40$. Furthermore one can write down a polynomial $f(n_1, \dots, n_k)$ with the property that, as the n_j run through the elements of \mathbb{N} , the set of positive values assumed by f is precisely the sequence of primes. The latter result arises from studies in logic relating to Hilbert's tenth problem (see Chapter 8).

The primes are well distributed in the sense that, for every $n > 1$, there is always a prime between n and $2n$. This result, which is commonly referred to as Bertrand's postulate, can be regarded as the forerunner of extensive researches on the difference $p_{n+1} - p_n$ of consecutive primes. In fact estimates of the form $p_{n+1} - p_n = O(p_n^\kappa)$ are known with values of κ just a little greater than $\frac{1}{2}$; but, on the other hand, the difference is certainly not bounded, since the consecutive integers $n! + m$ with $m = 2, 3, \dots, n$ are all composite. A famous theorem of Dirichlet asserts that any arithmetical progression $a, a + q, a + 2q, \dots$, where $(a, q) = 1$, contains infinitely many primes. Some special cases, for instance the existence of infinitely many primes of the form $4n + 3$,

can be deduced simply by modifying Euclid's argument given at the beginning, but the general result lies quite deep. Indeed Dirichlet's proof involved, amongst other things, the concepts of characters and L -functions, and of class numbers of quadratic forms, and it has been of far-reaching significance in the history of mathematics.

Two notorious unsolved problems in prime-number theory are the Goldbach conjecture, mentioned in a letter to Euler of 1742, to the effect that every even integer (> 2) is the sum of two primes, and the twin-prime conjecture, to the effect that there exist infinitely many pairs of primes, such as 3, 5 and 17, 19, that differ by 2. By ingenious work on sieve methods, Chen showed in 1974 that these conjectures are valid if one of the primes is replaced by a number with at most two prime factors (assuming, in the Goldbach case, that the even integer is sufficiently large). The oldest known sieve, incidentally, is due to Eratosthenes. He observed that if one deletes from the set of integers $2, 3, \dots, n$, first all multiples of 2, then all multiples of 3, and so on up to the largest integer not exceeding \sqrt{n} , then only primes remain. Studies on Goldbach's conjecture gave rise to the Hardy–Littlewood circle method of analysis and, in particular, to the celebrated theorem of Vinogradov to the effect that every sufficiently large odd integer is the sum of three primes.

1.7 Further reading[‡]

For a good account of the Peano axioms see the book by E. Landau, *Foundations of Analysis* (Chelsea Publishing, 1951).

The division algorithm, Euclid's algorithm and the fundamental theorem of arithmetic are discussed in every elementary text on number theory. The tracts are too numerous to list here but for many years the book by G. H. Hardy and E. M. Wright, *An Introduction to the Theory of Numbers* (Oxford University Press, 2008) has been regarded as a standard work in the field. The books of similar title by T. Nagell (Wiley, 1951) and H. M. Stark (MIT Press, 1978) are also to be recommended, as well as the volume by E. Landau, *Elementary Number Theory* (Chelsea Publishing, 1958).

For properties of the primes, see the book by Hardy and Wright mentioned above and, for more advanced reading, see, for instance, H. Davenport, *Multiplicative Number Theory* (Springer, 2000) and H. Halberstam and H. E. Richert, *Sieve Methods* (Academic Press, 1974). The latter contains, in particular, a proof of Chen's theorem. The result referred to on a polynomial in several

[‡] For full publication details please refer to the Bibliography on page 240.

variables representing primes arose from work of Davis, Robinson, Putnam and Matiyasevich on Hilbert's tenth problem; see, for instance, the article by J. P. Jones *et al.* in *American Math. Monthly* **83** (1976), 449–464, where it is shown that 12 variables suffice. The best result to date, due to Matiyasevich, is 10 variables; a proof is given in the article by J. P. Jones in *J. Symbolic Logic* **47** (1982), 549–571.

1.8 Exercises

- (i) Find integers x, y such that $22x + 37y = 1$.
- (ii) Find integers x, y such that $95x + 432y = 1$.
- (iii) Find integers x, y, z such that $6x + 15y + 10z = 1$.
- (iv) Find integers x, y, z such that $35x + 55y + 77z = 1$.
- (v) Prove that $1 + \frac{1}{2} + \cdots + 1/n$ is not an integer for $n > 1$.
- (vi) Prove that

$$(\{a, b\}, \{b, c\}, \{c, a\}) = \{(a, b), (b, c), (c, a)\}.$$

- (vii) Prove that if g_1, g_2, \dots are integers > 1 then every natural number can be expressed uniquely in the form $a_0 + a_1g_1 + a_2g_1g_2 + \cdots + a_kg_1 \cdots g_k$, where the a_j are integers satisfying $0 \leq a_j < g_{j+1}$.
- (viii) Show that there exist infinitely many primes of the form $4n + 3$.
- (ix) Show that, if $2^n + 1$ is a prime, then it is in fact a Fermat prime.
- (x) Show that, if $m > n$, then $2^{2^n} + 1$ divides $2^{2^m} - 1$ and so $(2^{2^m} + 1, 2^{2^n} + 1) = 1$.
- (xi) Deduce that $p_{n+1} \leq 2^{2^n} + 1$, whence $\pi(x) \geq \log \log x$ for $x \geq 2$.

2

Arithmetical functions

2.1 The function $[x]$

For any real x , one signifies by $[x]$ the largest integer $\leq x$, that is, the unique integer such that $x - 1 < [x] \leq x$. The function is called ‘the integral part of x ’. It is readily verified that $[x + y] \geq [x] + [y]$ and that, for any positive integer n , $[x + n] = [x] + n$ and $[x/n] = [[x]/n]$. The difference $x - [x]$ is called ‘the fractional part of x ’; it is written $\{x\}$ and satisfies $0 \leq \{x\} < 1$.

Let now p be a prime. The largest integer l such that p^l divides $n!$ can be neatly expressed in terms of the above function. In fact, on noting that $[n/p]$ of the numbers $1, 2, \dots, n$ are divisible by p , that $[n/p^2]$ are divisible by p^2 , and so on, we obtain

$$l = \sum_{m=1}^n \sum_{\substack{j=1 \\ p^j | m}}^{\infty} 1 = \sum_{j=1}^{\infty} \sum_{\substack{m=1 \\ p^j | m}}^n 1 = \sum_{j=1}^{\infty} [n/p^j].$$

It follows easily that $l \leq [n/(p-1)]$; for the latter sum is at most $n(1/p + 1/p^2 + \dots)$. The result also shows at once that the binomial coefficient

$$\binom{m}{n} = \frac{m!}{n!(m-n)!}$$

is an integer; for we have

$$[m/p^j] \geq [n/p^j] + [(m-n)/p^j].$$

Indeed, more generally, if n_1, \dots, n_k are positive integers such that $n_1 + \dots + n_k = m$ then the expression $m!/(n_1! \dots n_k!)$ is an integer.

2.2 Multiplicative functions

A real function f defined on the positive integers is said to be multiplicative if $f(m)f(n) = f(mn)$ for all m, n with $(m, n) = 1$. We shall meet many examples. Plainly if f is multiplicative and does not vanish identically then $f(1) = 1$. Further, if $n = p_1^{j_1} \cdots p_k^{j_k}$ in standard form then

$$f(n) = f(p_1^{j_1}) \cdots f(p_k^{j_k}).$$

Thus to evaluate f it suffices to calculate its values on the prime powers; we shall appeal to this property frequently.

We shall also use the fact that if f is multiplicative and if

$$g(n) = \sum_{d|n} f(d),$$

where the sum is over all divisors d of n , then g is a multiplicative function. Indeed, if $(m, n) = 1$, we have

$$\begin{aligned} g(mn) &= \sum_{d|mn} \sum_{d'|n} f(dd') = \sum_{d|m} f(d) \sum_{d'|n} f(d') \\ &= g(m)g(n). \end{aligned}$$

2.3 Euler's (totient) function $\phi(n)$

By $\phi(n)$ we mean the number of numbers $1, 2, \dots, n$ that are relatively prime to n . Thus, in particular, $\phi(1) = \phi(2) = 1$ and $\phi(3) = \phi(4) = 2$.

We shall show, in the next chapter, from properties of congruences, that ϕ is multiplicative. Now, as is easily verified, $\phi(p^j) = p^j - p^{j-1}$ for all prime powers p^j . It follows at once that

$$\phi(n) = n \prod_{p|n} (1 - 1/p).$$

We proceed to establish this formula directly without assuming that ϕ is multiplicative. In fact the formula furnishes another proof of this property.

Let p_1, \dots, p_k be the distinct prime factors of n . Then it suffices to show that $\phi(n)$ is given by

$$n - \sum_r (n/p_r) + \sum_{r>s} n/(p_r p_s) - \sum_{r>s>t} n/(p_r p_s p_t) + \cdots$$

But n/p_r is the number of numbers $1, 2, \dots, n$ that are divisible by p_r ; $n/(p_r p_s)$ is the number that are divisible by $p_r p_s$; and so on. Hence the above expression is

$$\sum_{m=1}^n \left(1 - \sum_{\substack{r \\ p_r | m}} 1 + \sum_{\substack{r > s \\ p_r p_s | m}} 1 - \dots \right) = \sum_{m=1}^n \left(1 - \binom{l}{1} + \binom{l}{2} - \dots \right),$$

where $l = l(m)$ is the number of primes p_1, \dots, p_k that divide m . Now the summand on the right is $(1 - 1)^l = 0$ if $l > 0$, and it is 1 if $l = 0$. The required result follows. The demonstration is a particular example of an argument due to Sylvester. Note that the result can be obtained alternatively as an immediate application of the inclusion–exclusion principle. For the respective sums in the required expression for $\phi(n)$ give the number of elements in the set $1, 2, \dots, n$ that possess precisely 1, 2, 3, ... of the properties of divisibility by p_j for $1 \leq j \leq k$ and the principle (or rather the complement of it) gives the analogous expression for the number of elements in an arbitrary set of n objects that possess none of k possible properties.

It is a simple consequence of the multiplicative property of ϕ that

$$\sum_{d|n} \phi(d) = n.$$

In fact the expression on the left is multiplicative and, when $n = p^j$, it becomes

$$\phi(1) + \phi(p) + \dots + \phi(p^j) = 1 + (p - 1) + \dots + (p^j - p^{j-1}) = p^j.$$

2.4 The Möbius function $\mu(n)$

This is defined, for any positive integer n , as 0 if n contains a squared factor, and as $(-1)^k$ if $n = p_1 \dots p_k$ as a product of k distinct primes. Further, by convention, $\mu(1) = 1$.

It is clear that μ is multiplicative. Thus the function

$$v(n) = \sum_{d|n} \mu(d)$$

is also multiplicative. Now for all prime powers p^j with $j > 0$ we have $v(p^j) = \mu(1) + \mu(p) = 0$. Hence we obtain the basic property, namely $v(n) = 0$ for $n > 1$ and $v(1) = 1$. We proceed to use this property to establish the Möbius inversion formulae.