Operator Theory: Advances and Applications 210

Recent Trends in Toeplitz and Pseudodifferential Operators

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2-Gerbes and 2-Tate Spaces

Sergev Arkhipov¹ and Kobi Kremnizer²

Summary. We construct a central extension of the group of automorphisms of a 2-Tate vector space viewed as a discrete 2-group. This is done using an action of this 2-group on a 2-gerbe of gerbal theories. This central extension is used to define central extensions of double loop groups.

AMS Subject Codes: 18D05, 22E67

1 Introduction

In this chapter we study the question of constructing central extensions of groups using group actions on categories.

Let G be a group. The basic observation is that the category of \mathbb{G}_m central extensions of G is equivalent to the category of \mathbb{G}_m -gerbes over the classifying stack of G. This is in turn equivalent to the category of \mathbb{G}_m -gerbes over a point with an action of G. Thus by producing categories with a G action we get central extensions.

We then take this observation one category theoretic level higher. We want to study central extensions of 2-groups. Here a 2-group is a monoidal groupoid such that its set of connected components is a group with the induced product. We look at the case of a discrete 2-group, that is, we can think of any group G as a 2-group with objects the elements of the group, morphisms the identities, and monoidal structure the product.

We see that \mathbb{G}_m -central extensions of a discrete 2-group are the same as 2-gerbes over the classifying stack of the group. This also can be interpreted as a 2-gerbe with G-action. Thus to get extensions as a 2-group we should find 2-categories with G-action.

These observations are used to define central extensions of automorphism groups of 1-Tate spaces and discrete automorphism 2-groups of 2-Tate spaces.

The category of n-Tate spaces is defined inductively. 0-Tate spaces are finite dimensional vector spaces. (n+1)-Tate spaces are certain indpro objects of the category of n-Tate spaces. To a 1-Tate space we can associate a 1-gerbe

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of determinant theories. This 1-gerbe has a natural action of the automorphism group of the 1-Tate space. This gives the central extension of the group.

Similarly, to a 2-Tate space we can associate a 2-gerbe of gerbal theories with an action of the automorphism group of the 2-Tate space. This action gives a central extension of the discrete 2-group.

If G is a finite dimensional reductive group and V is a finite dimensional representation we get an embedding of the formal double loop group G((s))((t)) into the automorphism group of the 2-tate space V((s))((t)). Thus we can restrict the central extension to the double loop group. These central extensions of the double loop group as a 2-group will be used in the future to study the (2-)representation theory of these groups and relating it to the 2-dimensional Langlands program.

The idea of constructing the higher central extension in categorical terms belongs essentially to Michael Kapranov. S.A would like to thank him for sharing the idea in 2004.

After writing this chapter we found out that a similar result was obtained by Osipov in his unpublished Preprint. S.A. would like to thank Osipov for sharing the manuscript with him.

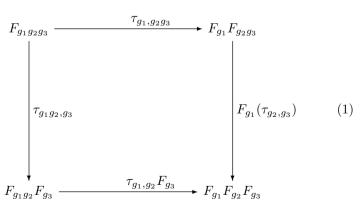
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2 Group actions on gerbes and central extensions

2.1 \mathbb{G}_m -gerbes and central extensions

Let's recall the notion of a group acting on a category.

Definition 1 An action of a group G on a category C consists of a functor $F_g: C \to C$ for each $g \in G$ and a natural transformation $\tau_{g,h}: F_{gh} \to F_g F_h$ s.t.



commutes for any $g_1, g_2, g_3 \in G$.

We also require that $F_1 = Id$ and that $\tau_{1,q} = Id$ and $\tau_{q,1} = Id$.

Suppose that C is a \mathbb{G}_m -gerbe (over a point). By this we mean that:

- C is a groupoid.
- C is connected (there exists an arrow between any two objects)
- For any object A of C, $Aut(A) \simeq \mathbb{G}_m$ in a coherent way.

Note that this implies that all the *Hom* spaces are \mathbb{G}_m -torsors.

Remark If C and D are 1-gerbes then their product $C \times D$ is also a 1-gerbe. This will be used below.

In this case we have the following theorem [5]:

Theorem 1 Let G act on a \mathbb{G}_m -gerbe C. For each object A of C we get a \mathbb{G}_m -central extension \widetilde{G}_A . These central extensions depend functorially on A (hence are all isomorphic). If there exists an equivariant object this extension splits.

Proof: Let $A \in obC$. Define

$$\widetilde{G}_A = \{ (g, \phi) : g \in G, \phi \in Hom(F_q(A), A) \}$$
(2)

with product given by

$$(g_1, \phi_1)(g_2, \phi_2) = (g_1 g_2, \phi_1 \circ F_{g_1}(\phi_2)) \tag{3}$$

Associativity follows from Definition 1.

Another way of interpreting this theorem is as follows: An action of G on a gerbe C over a point is the same (by descent) as a gerbe over \mathbb{BG} . By taking the cover

we get that such a gerbe gives (again by descent) a \mathbb{G}_m -torsor L over G with an isomorphism

$$p_1^*(L) \otimes p_2^*(L) \to m^*(L) \tag{5}$$

Hence we get

Theorem 2 The category of \mathbb{G}_m -central extensions of G is equivalent to the category of \mathbb{G}_m -gerbes over \mathbb{BG} .

Remark 1 In the above discussion we have used the notion of a gerbe over \mathbb{BG} . For this we could either use the theory of gerbes over stacks or treat \mathbb{BG} as a simplicial object and pt as the universal fibration \mathbb{EG} . The same remark would apply later when we talk about 2-gerbes over \mathbb{BG} .

2.2 Central extension of the automorphism group of a 1-Tate space

Let \mathcal{V} be a 1-Tate space. Recall (or see section 4) that this is an ind-pro object in the category of finite dimensional vector spaces, thus equivalent to \mathcal{V} having a locally linearly compact topology. Any such is isomorphic to V((t)) (formal loops into V) but non-canonically. Recall also the notion of a lattice $\mathcal{L} \subseteq \mathcal{V}$ (pro-subspace or linearly compact subspace) and that if $\mathcal{L}_1 \subseteq \mathcal{L}_2$ are two lattices then $\mathcal{L}_2/\mathcal{L}_1$ is finite dimensional.

Definition 2 A determinant theory is a rule that assigns to each lattice \mathcal{L} a one-dimensional vector space $\Delta_{\mathcal{L}}$ and to each pair $\mathcal{L}_1 \subset \mathcal{L}_2$ an isomorphism

$$\Delta_{\mathcal{L}_1\mathcal{L}_2}: \Delta_{\mathcal{L}_1} \otimes Det(\mathcal{L}_2/\mathcal{L}_1) \to \Delta_{\mathcal{L}_2}$$
 (6)

such that for each triple $\mathcal{L}_1 \subset \mathcal{L}_2 \subset \mathcal{L}_3$ the following diagram commutes

$$\Delta_{\mathcal{L}_{1}} \otimes Det(\mathcal{L}_{2}/\mathcal{L}_{1}) \otimes Det(\mathcal{L}_{3}/\mathcal{L}_{2}) \longrightarrow \Delta_{\mathcal{L}_{1}} \otimes Det(\mathcal{L}_{3}/\mathcal{L}_{1})$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\Delta_{\mathcal{L}_{2}} \otimes Det(\mathcal{L}_{3}/\mathcal{L}_{2}) \longrightarrow \Delta_{\mathcal{L}_{3}}$$

$$(7)$$

We have the obvious notion of a morphism between two determinant theories and it is easy to see that the category of determinant theories is in fact a \mathbb{G}_{m} -gerbe.

Let $GL(\mathcal{V})$ be the group of continuous automorphisms of \mathcal{V} . This group acts on the gerbe of determinant theories and hence we get using Theorem 1 a central extension $\widetilde{GL(\mathcal{V})}_{\Delta}$ for each choice of determinant theory Δ . Unless \mathcal{V} itself is a lattice, this central extension does not split.

3 Group actions on 2-gerbes and central extensions of 2-groups

3.1 2-Groups

Definition 3 A 2-group is a monoidal groupoid C s.t. its set of connected components $\pi_0(C)$ with the induced multiplication is a group.

The basic example is the discrete 2-group associated with any group G: the set of objects is G itself and the only morphisms are the identities. The monoidal structure comes from the group multiplication. We will denote this discrete 2-group by G.

Note that 2-groups can be defined in any category with products (or better in any topos) so we have topological, differential, and algebraic 2-groups.

One can define a general notion of extensions of 2-groups but we are only interested in the following case:

Definition 4 Let G be a group (in a topos) and A an abelian group (again in the topos). A central extension $\widetilde{\mathcal{G}}$ of the discrete 2-group associated to G by A is a 2-group s.t.:

- $\pi_0(\widetilde{\mathcal{G}}) \simeq G$
- $\pi_1(\widetilde{\mathcal{G}}, I) \simeq A$

Here I is the identity object for the monoidal structure and π_1 means the automorphism group of the identity object.

3.2 Action of a group on a bicategory

Lets recall first the notion of a bicategory (one of the versions of a lax 2-category) [3].

Definition 5 A bicategory C is given by:

- Objects A, B, ...
- Categories C(A, B) (whose objects are called 1-arrows and morphisms are called 2-arrows)
- Composition functors $C(A, B) \times C(B, C) \longrightarrow C(A, C)$
- Natural transformations (associativity constraints)

$$C(A,B) \times C(B,C) \times C(C,D) \longrightarrow C(A,B) \times C(B,D)$$

$$(8)$$

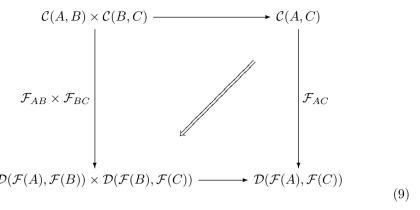
$$C(A,C) \times C(C,D) \longrightarrow C(A,D)$$

This data should satisfy coherence axioms of the MacLane pentagon form.

Remark As a bicategory with one object is the same as a monoidal category the coherence axioms should become clear (though lengthy to write).

Definition 6 Let $\mathcal C$ and $\mathcal D$ be two bicategories. A functor $\mathcal F:\mathcal C\to\mathcal D$ consists of:

- For each object $A \in Ob(\mathcal{C})$ an object $\mathcal{F}(A) \in Ob(\mathcal{D})$
- A functor $\mathcal{F}_{AB}: \mathcal{C}(A,B) \to \mathcal{D}(\mathcal{F}(A),\mathcal{F}(B))$ for any two objects $A,B \in Ob\mathcal{C}$
- A natural transformation

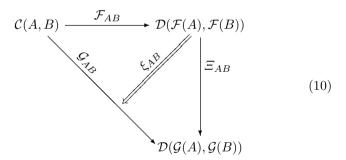


This natural transformation should be compatible with the associativity constraints.

Again the comparison with monoidal categories should make it clear what are the compatibilities.

Definition 7 Let \mathcal{F} and \mathcal{G} be two functors between \mathcal{C} and \mathcal{D} . A natural transformation (Ξ, ξ) is given by:

- A functor $\Xi_{AB} : \mathcal{D}(\mathcal{F}(A), \mathcal{F}(B)) \to \mathcal{D}(\mathcal{G}(A), \mathcal{G}(B))$ for each pair of objects
- A natural transformation



These should be compatible with the structures.

Definition 8 Given two natural transformations $(\Xi^1, \xi^1), (\Xi^2, \xi^2) : \mathcal{F} \to \mathcal{G}$ a modification is a natural transformation $\phi_{AB} : \Xi^1_{AB} \to \Xi^2_{AB}$ such that

$$\Xi_{AB}^{1}\mathcal{F}_{AB} \xrightarrow{\xi_{AB}} \mathcal{G}_{AB}$$

$$\phi_{AB}\mathcal{F}_{AB} \qquad \qquad \downarrow Id$$

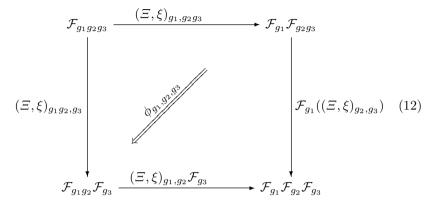
$$\Xi_{AB}^{2}\mathcal{F}_{AB} \xrightarrow{\xi_{AB}} \mathcal{G}_{AB}$$

$$(11)$$

commutes for all A and B and is compatible with all the structures.

Now we can define an action of a group on a bicategory:

Definition 9 Let G be a group and C a bicategory. An action of G on C is given by a functor $\mathcal{F}_g : C \to C$ for each $g \in G$ and a natural transformation $(\Xi, \xi)_{g,h} : \mathcal{F}_{gh} \to \mathcal{F}_g \mathcal{F}_h$ such that there exists a modification



for any $g_1, g_2, g_3 \in G$ satisfying a cocycle condition.

3.3 2-gerbes and central extensions of 2-groups

Let A be an abelian group.

Definition 10 A 2-gerbe (over a point) with band A is a bicategory C such that:

- It is a 2-groupoid: every 1-arrow is invertible up to a 2-arrow and all 2-arrows are invertible.
- It is connected: there exists a 1-arrow between any two objects and a 2-arrow between any two 1-arrows.
- The automorphism group of any 1-arrow is isomorphic to A.

In other words all the categories C(A, B) are 1-gerbes with band A and the product maps are maps of 1-gerbes.

Theorem 3 Suppose G acts on a 2-gerbe C with band A. To this we can associate a central extension $\widetilde{\mathcal{G}}$ of the discrete 2-group associated to G by A.

The construction is the same as in 1 (with more diagrams to check). A better way of presenting the construction is using descent: a 2-gerbe with an action of G is the same as a 2-gerbe over \mathbb{BG} (we haven't defined 2-gerbes in general. See [4]). Using the same cover as before $pt \to \mathbb{BG}$ we get a gerbe over G which is multiplicative. That means that we are given an isomorphism

$$p_1^*(\mathcal{F}) \otimes p_2^*(\mathcal{F}) \to m^*(\mathcal{F})$$
 (13)

satisfying a cocycle condition on the threefold product (here $m: G \times G \to G$ is the multiplication). This gerbe gives in turn an A-torsor over $G \times G$ giving the Hom-spaces of the 2-group and the multiplicative structure gives the monoidal structure.

This construction also works in the other direction. Suppose we have a central extension of the discrete 2-group $\mathcal G$ associated to the group G by the abelian group A. Then the Hom spaces define an A-torsor $\mathcal H\mathcal O\mathcal M$ over $G\times G$ and the existence of composition means that over $G\times G\times G$ we are given an isomorphism:

$$p_{12}^*(\mathcal{HOM}) \otimes p_{23}^*(\mathcal{HOM}) \to p_{13}^*(\mathcal{HOM})$$
 (14)

satisfying a cocycle condition over the fourfold product (associativity). Here p_{ij} are the projections. Thus we have a gerbe over G with band A. Let's denote this gerbe by \mathcal{F} .

The existence of the monoidal structure implies that we are given an isomorphism over $G \times G$

$$p_1^*(\mathcal{F}) \otimes p_2^*(\mathcal{F}) \to m^*(\mathcal{F})$$
 (15)

satisfying a cocycle condition on the threefold product. Hence the gerbe is multiplicative. In other words we have:

Lemma 1 A central extension of the discrete 2-group associated to G by A is the the same as a 2-gerbe over \mathbb{BG} with band A.

Actually also here we have an equivalence of categories.

Remark 2 Today's technology ([8]) enables one to define n-gerbes with nice descent theory. So we can generalize the whole discussion to:

Theorem 4 The category of n-gerbes with band A and with action of G is equivalent to that of central extensions by A of the discrete n-group associated to G.

This will be done in another paper.

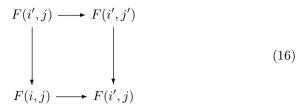
4 2-Tate spaces and 2-groups

In this section we introduce the notion of a locally compact object introduced by Beilinson and Kato [2,7].

4.1 Locally compact objects in a category

Definition 11 Let C be a category. The category of locally compact objects of C is the full subcategory of Ind(Pro(C)) consisting of functors that are isomorphic to diagrams of the following sort: Let I, J be linearly directed orders.

Let $F: I^{op} \times J \to C$ be a diagram such that for all $i, i' \in I$ and $j, j' \in J$ $i \leq i'$ and $j \leq j'$ the diagram:



is both cartesian and cocartesian and vertical arrows are surjections and horizontal arrows are injections. A compact object is a locally compact object isomorphic to one which is constant in the Ind direction.

The following statement follows easily from set-theory and the Yoneda lemma:

Lemma 2 If F is locally compact then the functors $\stackrel{lim lim}{\leftarrow} F$ and $\stackrel{lim lim}{\rightarrow} F$ are naturally isomorphic.

From now on we will assume that the indexing sets I, J are countable.

Suppose C is an exact category. Say a sequence of locally compact objects is exact if it can be represented by a map of diagrams $F_1 \to F_2 \to F_3$: $I^{op} \times J \to C$ where all the arrows are exact in C. A routine check shows:

Lemma 3 The category of locally compact objects of C is exact.

Remark 3 Note that if C is Abelian (and nontrivial) the category of locally compact objects is not Abelian.

Using the standard reindexing trick (Appendix of [1]) we also get

Lemma 4 Let $F_1 \to F_2$ be an admissible injection (w.r.t. the exact structure) of compact objects then $coker(F_1 \to F_2)$ is also a compact object.

Lemma 5 Let F_1 and F_2 be two admissible compact subobjects of F, then $F_1 \times_F F_2$ is also compact.

Now we can define inductively n-Tate spaces (we still assume that the indexing sets are countable):

Definition 12 A 0-Tate space is a finite dimensional vector space. Suppose we have defined the category of n-Tate spaces. A n+1-Tate space is a locally compact object of n-Tate spaces. A lattice of an (n+1)-Tate space is an admissible compact subobject.

Note that any 2-Tate space is of the form $\mathcal{V}((t))$ where \mathcal{V} is a 1-Tate space. An example of a lattice in this case is $\mathcal{V}[[t]]$.

4.2 Some facts on 1-Tate spaces

We have from the previous section that:

Lemma 6 The category of 1-Tate spaces is an exact category with injections set-theoretic injections and surjections dense morphisms.

Recall also the notion of the determinant grebe associated to a Tate space \mathcal{V} . From now on we will denote it by $\mathcal{D}_{\mathcal{V}}$.

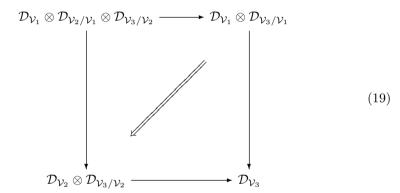
Lemma 7 Let

$$0 \to \mathcal{V}' \to \mathcal{V} \to \mathcal{V}'' \to 0 \tag{17}$$

be an admissible exact sequence of Tate spaces. Then we have an equivalence of \mathbb{G}_m -gerbes

$$\mathcal{D}_{\mathcal{V}'} \otimes \mathcal{D}_{\mathcal{V}''} \to \mathcal{D}_{\mathcal{V}} \tag{18}$$

such that if $V_1 \subset V_2 \subset V_3$ then we have a natural transformation



and if we have $V_1 \subset V_2 \subset V_3 \subset V_4$ then the cubical diagram of natural transformations commutes.

4.3 2-Tate spaces and gerbal theories

It follows from the previous discussion that:

Lemma 8 Let \mathbb{V} be a 2-Tate space.

- 1. If $\mathbb{L}' \subset \mathbb{L}$ are two lattices then \mathbb{L}/\mathbb{L}' is a 1-Tate space.
- 2. For any two lattices \mathbb{L} and \mathbb{L}' there exists a third lattice $\mathbb{L}'' \subset \mathbb{L} \cap \mathbb{L}'$.

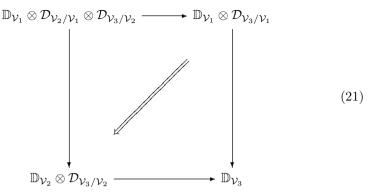
Now we can define a gerbal theory.

Definition 13 Let \mathbb{V} be a 2-Tate space. A gerbal theory \mathbb{D} is

- For each lattice $\mathbb{L} \subset \mathbb{V}$ a \mathbb{G}_m -gerbe $\mathbb{D}_{\mathbb{L}}$
- If $\mathbb{L}' \subset \mathbb{L}$ are two lattices then we have an equivalence

$$\mathbb{D}_{\mathbb{L}} \xrightarrow{\phi_{\mathbb{L}L'}} \mathbb{D}_{\mathbb{L}'} \otimes \mathcal{D}_{\mathbb{L}/\mathbb{L}'} \tag{20}$$

• For $V_1 \subset V_2 \subset V_3$ we have a natural transformation



Given $V_1 \subset V_2 \subset V_3$ these natural transformations should commute on a cubical diagram.

Now we have

Theorem 5 gerbal theories on a given 2-Tate space \mathbb{V} form a \mathbb{G}_m 2-gerbe $\mathbb{GERB}_{\mathbb{V}}$.

Let's denote $\mathbb{GL}(\mathbb{V})$ the group of continuous automorphisms of a 2-Tate space \mathbb{V} . This group acts naturally on the 2-gerbe $\mathbb{GERB}_{\mathbb{V}}$. Remark the action is actually a strict one. We get:

Theorem 6 Let \mathbb{V} be a 2-Tate space. Given a lattice $\mathbb{L} \subset \mathbb{V}$ we get a \mathbb{G}_m central extension of the discrete 2-group associated to $\mathbb{GL}(\mathbb{V})$.

Remark 4 Using Theorem 4 we can go on and define central extensions of discrete n-groups of automorphism of n-Tate spaces.

Application: central extension of a double loop group

Let G be a finite dimensional reductive group over a field. Let V be a finite dimensional representation of G. From this data we get a map

$$G((s))((t)) \to \mathbb{GL}(V((s))((t)))$$
 (22)

where G((s))((t)) is the formal double loop group of G. From this embedding we get a central extension of the discrete 2-group G((s))((t)).

A variant

There is another way to think about \mathbb{G}_m -gerbes.

Definition 14 Let Pic be the symmetric monoidal groupoid of 1-dimensional vector spaces. A \mathbb{G}_m -gerbe is a module category over this monoidal category equivalent to Pic as module categories (where Pic acts on itself by the monoidal structure).

This definition is equivalent to the definition given before. Now, following Drinfeld [6] we define a graded version of a \mathbb{G}_m -gerbe.

Definition 15 Let $Pic^{\mathbb{Z}}$ be the symmetric monoidal groupoid of \mathbb{Z} -graded 1-dimensional vector spaces with the super-commutativity constraint $(a \otimes b \to (-1)^{\deg(a)\deg(b)}b \otimes a)$. A \mathbb{Z} -graded \mathbb{G}_m -gerbe is a module category over $Pic^{\mathbb{Z}}$ equivalent to it as module categories.

We have a map from $Pic^{\mathbb{Z}}$ to the discrete 2-group \mathbb{Z} which sends a 1-dimensional graded vector space to its degree. This map induces a functor between graded \mathbb{G}_m -gerbes and \mathbb{Z} -torsors. We can now repeat the entire story with \mathbb{Z} -graded gerbes. For instance, instead of a determinant theory we will get a graded determinant theory. The \mathbb{Z} -torsor corresponding to it will be the well known dimension torsor of dimension theories. A dimension theory for a 1-Tate space is a rule of associating an integer to each lattice satisfying conditions similar to those of a determinant theory.

In this way we will get for a 2-Tate space an action of $\mathbb{GL}(\mathbb{V})$ on the \mathbb{G}_m -gerbe of dimension torsors. This action will give us a central extension of the group $\mathbb{GL}(\mathbb{V})$ (not the 2-group!). And similarly we can get central extensions of groups of the form G((s))((t)). Thus we see that if we work with graded determinant theory we get a central extension of the discrete 2-group $\mathbb{GL}(\mathbb{V})$ which induces the central extension of the group $\mathbb{GL}(\mathbb{V})$ (For this central extension see [9]).

Remark 5 Another reason to work with graded theories is that they behave much better for the direct sum of 1-Tate spaces. It is true that the determinant gerbe of the direct sum of 1-Tate spaces is equivalent to the tensor product of the gerbes but this equivalence depends on the ordering. If one works with graded determinant theories this equivalence will be canonical.

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